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www.cedaradvisors.com

Name: \_\_\_\_\_ Date: \_\_\_\_\_

There are two objectives for our first meeting:

- 1) You need to learn about me so you can decide whether I am the right financial advisor for you. You'll want to understand what I do, how I work, and what benefits you can expect when you choose Cedar Financial Advisors. I will answer any questions you may have about me, the costs, and what to expect.
- 2) I need to learn about you to determine how I might serve you better. I'll want to know about your financial circumstances, your goals, your values, your concerns and what you want from an advisor. You play an important role in the process and I want to understand you and for you to understand the responsibilities you will have in the relationship.

For starters, I ask you to complete and return this form before we meet. You can input your responses, save the form, and email the form back to me at **info@cedaradvisors.com**. You may also fax the form to 503.828.9440. Please note: I'll need one Financial Check-up Form from each participant.

The first meeting is an opportunity for me to learn about you and for you to learn about me. Since the initial meeting will be used by both of us to learn more about the other and no substantial financial advice will be offered, there is no charge for this first meeting which may last 60-90 minutes in length. To facilitate our conversation and to make our time together more productive, please take a few moments to provide me with the following information.

Please describe your primary financial concerns. Why are you seeking advice?

- 1) \_\_\_\_\_  
\_\_\_\_\_
- 2) \_\_\_\_\_  
\_\_\_\_\_
- 3) \_\_\_\_\_  
\_\_\_\_\_

What are you looking for in an advisor? What would a good relationship look like?

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What important is happening in your life now or in the near future?

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## Personal Information

Date	Client 1	Client 2
First Name		
Last Name		
Home Address		
Home City		
Home State		
Home Zip Code		
Home Phone #		
Employer		
Title/Position		
Business Address		
Business City		
Business State		
Business Zip Code		
Business Phone #		
E-mail address		
Date of Birth		
Citizenship		
Anniversary date if Married		
Dependent? Ages?		
Grandchildren? Ages?		
	<i>I/we Currently Own (Please check all that apply)</i>	
Money Market	Certificate of Deposits	Mutual funds
Checking/Savings	Stocks	SEP/SIMPLE
IRA	401(k)/403(b)	Rental Property
Farm	Business	Real Estate
Annuities	Cash Value Insurance	Other

Generally, how much are you able to save each year either into retirement plans at work, IRA, college funding programs or other investments?

How would describe your investment experience level and number of years of investing?

How would you describe the investment choices you have made (conservative, aggressive, etc)?

How did you learn about Cedar Financial Advisors? From Whom?

# Financial Life Checkup

Name \_\_\_\_\_ Date \_\_\_\_\_

Directions: The statements below will help you to think about and assess how satisfied you are with the aspects of your life. Rate them from 1 = Not Satisfied to 5 = Very Satisfied

Not Satisfied	Moderately Satisfied	Very Satisfied
1	2	3
4	5	

	I am satisfied...	
1	...with my ability to meet my financial obligations.	
2	...with the income potential my current job or career provides me.	
3	...with my spending habits.	
4	...with the level of debt I carry.	
5	...with the "extras" that I am able to buy for myself and/or loved ones.	
6	...with the level and quality of insurance protection I currently have.	
7	...with my amount of money that I save and invest on a regular basis.	
8	...with my current investment choices.	
9	...that I am on track to build a sufficient retirement nest egg.	
10	...with the level of employee benefits I receive.	
11	...with my style of personal bookkeeping and financial records management.	
12	...with my plans for my children's education.	
13	...with my estate plan.	
14	...with my level of charitable giving.	
15	...with the level of personal financial education I have attained.	
16	...with how I respond emotionally to my personal finance matters.	
17	...my ability to communicate about my financial matters.	
18	...with my feelings I have about my money life.	
19	...with my ability to care for my parents, if the need arises	
20	...that my financial issues do not cause stress or strain the relationships that are important to me.	
21	...with the working relationships I have with my financial service providers, that is, insurance agent, banker, financial planner, broker and accountant.	

# Financial Life Checkup

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